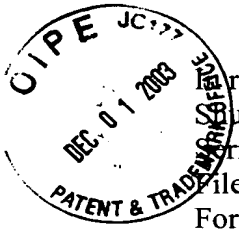


IN THE UNITED STATES PATENT AND TRADEMARK OFFICE



Re Patent Application of:
Shunpei YAMAZAKI et al.
Serial No. 09/255,777

Filed: February 23, 1999

For: SEMICONDUCTOR DEVICE AND
METHOD FOR FORMING THE SAME

)
)
) Examiner: Richard A. Booth
) Group Art Unit: 2812

)
) December 1, 2003
)

CERTIFICATE OF MAILING OR TRANSMISSION

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage for First Class Mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, or being facsimile transmitted to the USPTO at _____, on December 1, 2003.

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INFORMATION DISCLOSURE STATEMENT

Mail Stop **RCE**
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Sir:

In accordance with the duty of disclosure as set forth in 37 C.F.R. §1.56, Applicants hereby submit the following information in conformance with 37 C.F.R. §§ 1.97 and 1.98. Pursuant to 37 C.F.R. § 1.98, a copy of each of the documents cited is enclosed.

Please charge the fee of \$180 to Deposit Account 19-2380 in compliance with the provisions of 37 C.F.R. § 1.97(c).

It is requested that the accompanying PTO-1449 be considered and made of record in the above-identified application. To assist the Examiner, the documents are listed on the attached form PTO-1449. It is respectfully requested that an Examiner initial a copy of this form be returned to the undersigned.

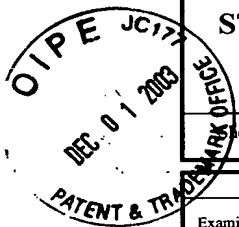
The Commissioner is hereby authorized to charge any fees connected with this filing which may be required now, or credit any overpayment to Deposit Account No. 19-2380.

Respectfully submitted,

By: _____


Luan C. De
Registration No. 38,434

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Telephone: (202) 585-8000



Substitute for form 1449A/PTO				Complete if Known	
INFORMATION DISCLOSURE STATEMENT BY APPLICANT <i>(use as many sheets as necessary)</i>				Application Number	09/255,777
				Filing Date	February 23, 1999
				First Named Inventor	Shunpei YAMAZAKI et al.
				Art Unit	2812
				Examiner Name	Richard A. Booth
Sheet	1	of	1	Attorney Docket Number	740756-1936

U.S. PATENT DOCUMENTS					
Examiner Initials ²	Cite No. ¹	U.S. Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number - Kind Code ³ (if known)			
		US-			
		US-			
		US-			
		US-			
		US-			
		US-			
		US-			

FOREIGN PATENT DOCUMENTS						
Examiner Initials ²	Cite No. ¹	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T ⁶
		Country Code ³ Number ⁴ Kind Code ⁵ (if known)				
		3-175632	07-30-1991	AKIMASA et al.		Abstr.

OTHER PRIOR ART - NON PATENT LITERATURE DOCUMENTS			
Examiner Initials ²	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
		HSIEH et al., Directional Deposition of Dielectric Silicon Oxide by Plasma Enhanced Teos Process; VMIC Conference, TH-0259-2/89/000-0411, June 12-13, 1989, pg. 411-415.	

Examiner Signature		Date Considered	
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*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹ Applicant's unique citation designation number (optional). ² See Kinds Codes of USPTO Patent Documents at 222.uspto.gov or MPEP 901.04. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. ⁶ Applicant is to place a check mark here if English language Translation is attached.